

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 09/07/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/050,348	01/15/2002	Gurtej S. Sandhu	MI22-1898	7554
21567 75	590 09/07/2006		EXAM	INER
WELLS ST. JOHN P.S. 601 W. FIRST AVENUE, SUITE 1300 SPOKANE, WA 99201			SCHILLINGER, LAURA M	
			ART UNIT	PAPER NUMBER
or order ve, w	11 ),201		2813	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/050,348	SANDHU ET AL.				
Office Action Summary	Examiner	Art Unit				
	Laura M. Schillinger	2813				
The MAILING DATE of this communication ap Period for Reply	pears on the cover sheet with	h the correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D.  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNIC 136(a). In no event, however, may a rej will apply and will expire SIX (6) MONT e. cause the application to become ABA	ATION. ply be timely filed  HS from the mailing date of this communication.  NDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on <u>04 May 2006</u> .						
	•,					
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D.	11, 453 O.G. 213.				
Disposition of Claims						
4)	awn from consideration.					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine 11.	cepted or b) objected to be drawing(s) be held in abeyand of the drawing(s) to held in the drawing(s)	e. See 37 CFR 1.85(a). i) is objected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)	mmary (PTO-413) /Mail Date				
Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 5 4: 06  5) Notice of Informal Patent Application 6) Other:						

#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 41-43 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Applicant's specification fails to teach forming a gate oxide of 5 Angstroms thick and nitriding only the upper half.

Claims 41-43 are rejected under 35 U.S.C. 112, first paragraph, as based on a disclosure which is not enabling. Applicant's arguments suggest that the formation of a 5 Angstrom thick gate oxide layer is critical or essential to the practice of the invention, but not included in the claim(s) is not enabled by the disclosure. See *In re Mayhew*, 527 F.2d 1229, 188 USPQ 356 (CCPA 1976).

Claims 41-43 rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. As pointed out above, there is no teaching within the Applicant's specification of a 5 Angstrom thick gate oxide layer.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3 (41-43) are rejected under 35 U.S.C. 103(a) as being unpatentable over Ma (\*302).

Ma teaches the following claimed limitations as cited below:

In reference to claim 1 (41) a device comprising:

A gate oxide layer (layers 1311 and 115) over a semiconductive substrate, the gate oxide layer comprising silicon dioxide and having a thickness of about 5A (Col.1, lines: 50-55- 0.5 nm = 5 A); the gate oxide layer having a N-enriched region which is only in an upper half of the gate oxide layer (Fig.4 –SiN occurs in layer 1311 and not 115);

At least one conductive layer over the gate oxide layer (Col.2, lines: 5-15); and

Art Unit: 2813

Source/drain regions within the semiconductive substrate; the source/drain regions being gatedly connected to one another by the conductive layer (Col.2, lines: 10-15).

However, as Applicant points out in the arguments dated 10/19/05, the amended claim language specifies a total thickness of 5 A, therefore the minimum thickness taught by Ma would be 10 A, not 5 A as the amended claim language specifies. However, such a variation of thickness would have been obvious to one of ordinary skill in the art at the time of the invention to make the layer to a different thickness because as Ma teaches, "the total thickness of composite gate dielectric 19 and the relative thicknesses of the dielectric sublayers may be adjusted by varying the oxidation time, temperature and gas partial pressure for individual components, 1311, 115, and 17." (Col.2, lines: 14-20). Moreover, These claims are prima facie obvious without showing that the claimed ranges achieve unexpected results relative to the prior art range. In re Woodruff, 16 USPQ2d 1935, 1937 (Fed. Cir. 1990). See also In re Huang, 40 USPQ2d 1685, 1688(Fed. Cir. 1996)(claimed ranges of a result effective variable, which do not overlap the prior art ranges. are unpatentable unless they produce a new and unexpected result which is different in kind and not merely in degree from the results of the prior art). See also In re Boesch, 205 USPO 215 (CCPA) (discovery of optimum value of result effective variable in known process is ordinarily within skill of art) and In re Aller, 105 USPQ 233 (CCPA 1955) (selection of optimum ranges within prior art general conditions is obvious).

In reference to claim 2 (42) wherein the conductive layer comprises conductively doped silicon (Col.2, lines: 50-55).

Application/Control Number: 10/050,348

Art Unit: 2813

In reference to claim 3 (43) wherein the conductive layer comprises p-type conductively doped silicon (boron is p- type; Col.2, lines: 50-55).

## Response to Arguments

Applicant's arguments filed 5/4/06 have been fully considered but they are not persuasive. Applicant points out repeatedly in the arguments that the 5 Angstrom thickness of the gate oxide is not taught by Ma- this is agreed upon. However, the range of such a thickness is considered obvious absent some unexpected result. Applicant has not provided any evidence of an unexpected result. Moreover, no support was found within Applicant's specification for a gate oxide of 5 Angstroms thick. Applicant is referred to Alers et al (US 6060406- teaching that a range of 5 A for a gate oxide is in the "state of the art" (Col.6, lines: 10-20). Lastly, upon review the Examiner could not find support for a 5 A thick gate oxide in Applicant's specification.

## **Conclusion**

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

Art Unit: 2813

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Laura M. Schillinger whose telephone number is (571) 272-1697. The examiner can normally be reached on M-T, R-F 7:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Carl W. Whitehead, Jr. can be reached on (571) 272-1702. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Laura M Schillinger Primary Examiner Art Unit 2813

Dane hylley